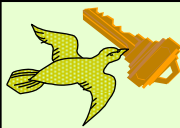


Compact and Unforgeable Key Establishment over an ATM Network

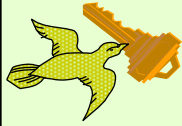
Yuliang Zheng (Monash University, Australia)

Hideki Imai (University of Tokyo, Japan)



Outline of the talk

- **Motivation of this research**
- **Introduction to signcryption**
- **Key materials transport using signcryption**



Session Key Establishment

- A process for two participants to agree upon a freshly shared key
- Dimensions
 - ❖ security against various attacks
 - ❖ authenticity v.s. identification
 - ❖ unforgeability & non-repudiation
 - ❖ transport v.s. exchange
 - ❖ secret v.s. public key crypto
 - ❖ key distrib. center v.s. cert. authority
 - ❖ efficiency (msg length, # of moves, comp cost)

© 1998 by Yuliang Zheng

3

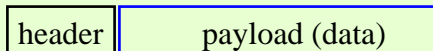


Asynchronous Transfer Mode (ATM) --- Motivation of this Work ---

- Cell switching
 - ❖ Data are placed into cells of fixed-size (53 bytes), and then
 - ❖ transported over virtual circuits
- ATM cell structure

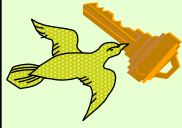
5 bytes

48 bytes (384 bits)



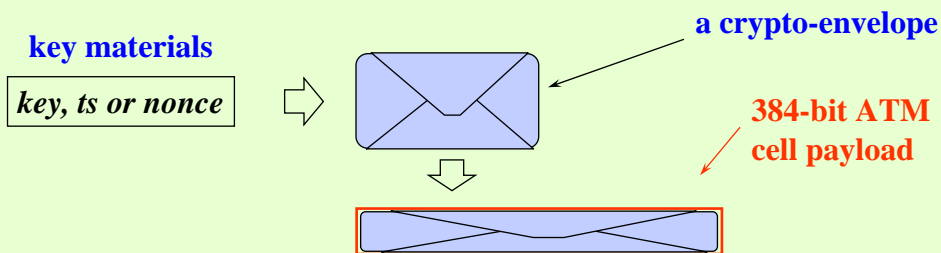
© 1998 by Yuliang Zheng

4



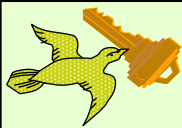
Problem to be solved

- To transport encrypted key materials
 - ❖ using a single ATM cell
 - ❖ with a low computational cost
 - ❖ in a secure and unforgeable way
 - ❖ without using a KDC



© 1998 by Yuliang Zheng

5

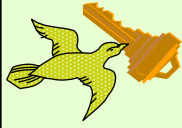


Why using a single ATM cell ?

- If the encrypted version of key materials exceeds 384 bits, problems would occur :
 - ❖ splitting data
 - ❖ buffering
 - ❖ re-assembling data

© 1998 by Yuliang Zheng

6

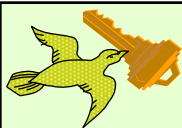


Why focusing on public key cryptosystems

- The problem CAN be solved using using secret key or types of cryptosystems
- However, with such a solution
 - ❖ unforgeability cannot be achieved without a TTP/tamper-proof devices
 - ❖ Key management is an issue
 - Distribution
 - Derivation, and/or
 - Secure Storage

© 1998 by Yuliang Zheng

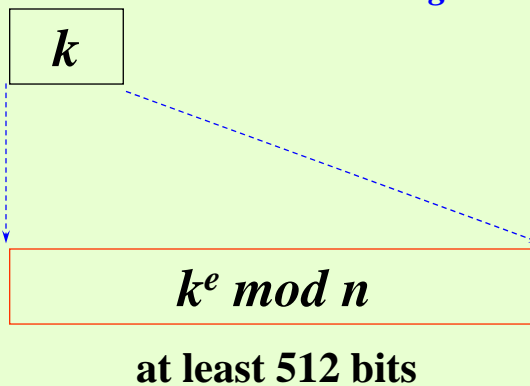
7



Why RSA encryption wouldn't work

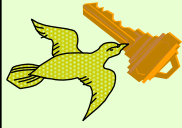
64 bits

Using RSA encryption



© 1998 by Yuliang Zheng

8



Why ElGamal encryption wouldn't work

64 bits

k

Using ElGamal encryption
---DL over $GF(p)$ ---

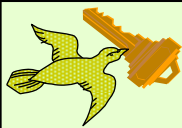
k

$g^x \bmod p$

at least $64+512=576$ bits

© 1998 by Yuliang Zheng

9



Why public key "signature + encryption" wouldn't work

64 bits

k

Using signature + encryption
---RSA or ElGamal---

k

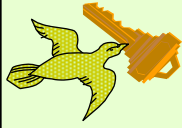
sig

$k^e \bmod n / g^x \bmod p$

> 512 bits

© 1998 by Yuliang Zheng

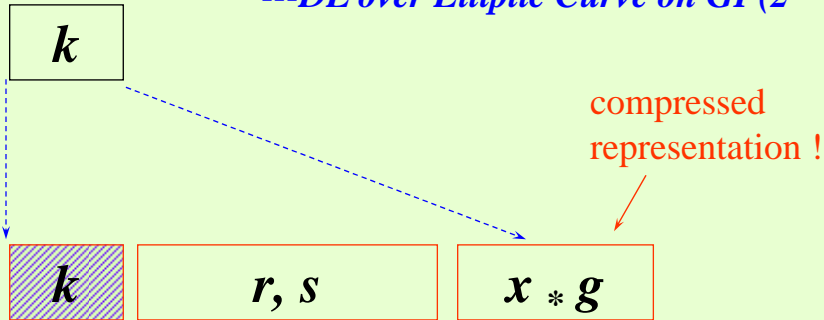
10



Why EC-signature+encryption wouldn't work

64 bits

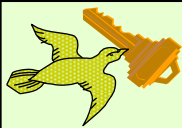
Using Schnorr sig + ElGamal enc
---DL over Elliptic Curve on $GF(2^{160})$ ---



at least $64+(80+160) + (160+1)=465$ bits

© 1998 by Yuliang Zheng

11



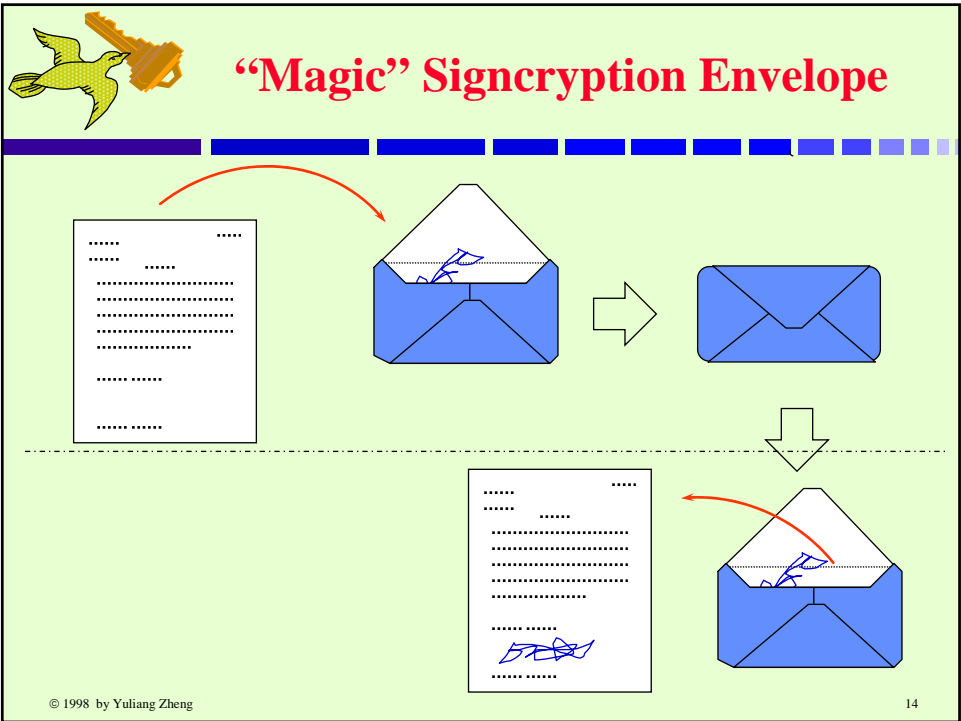
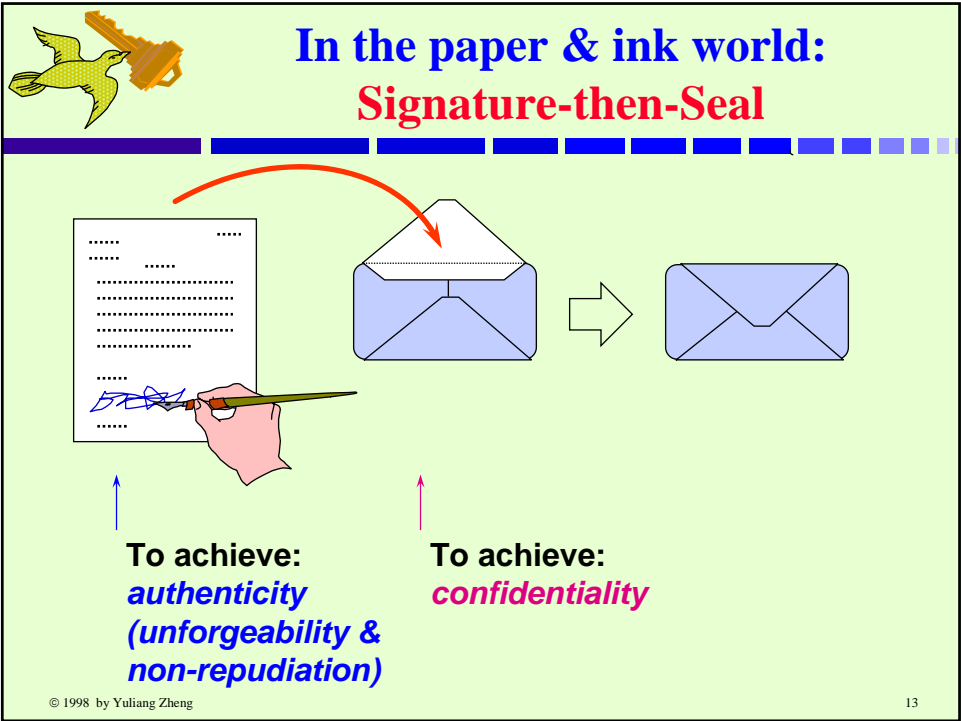
Signcryption -- a new paradigm

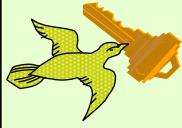
- Achieves the functions of
 - ❖ digital signature
 - unforgeability & non-repudiation
 - ❖ encryption
 - confidentiality
- has a **significantly smaller** comp. & comm. cost

$$\text{Cost (signcryption)} \ll \text{Cost (signature)} + \text{Cost (encryption)}$$

© 1998 by Yuliang Zheng

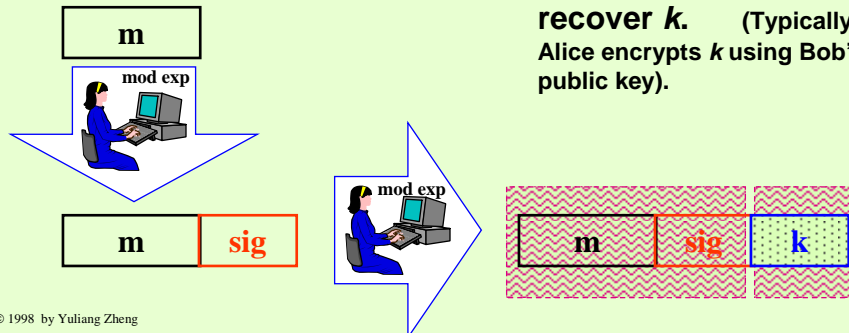
12





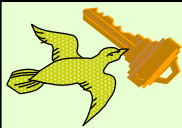
In the digital world (Alice to Bob): Signature-then-Encryption

- 1. Signature generation
 - ❖ Alice signs a message m using her secret key, i.e. creating sig on m .
- 2. Encryption
 - ❖ Alice encrypts (m, sig) using DES with k .
 - ❖ Alice creates another data so that Bob can recover k . (Typically, Alice encrypts k using Bob's public key).



© 1998 by Yuliang Zheng

15

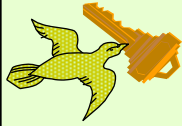


Why signature-then-encryption can be a problem

- Consider a transaction/message of 5,120 bits (=640 chars, ≈ 8 lines) that requires
 - ❖ high level security, or
 - ❖ to be transmitted in 2010
- Very large moduli, say of 5120 bits, have to be used

© 1998 by Yuliang Zheng

16



Why signature-then-encryption can be a problem (cnt'd)

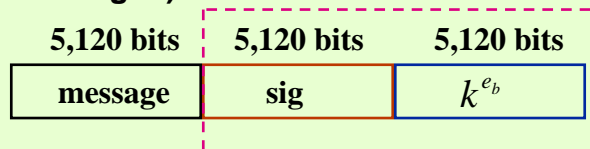
- If RSA with a 5120-bit composite is used

❖ Comp. cost:

2+2=4 exponentiations mod a (very large !)
5120-bit integer

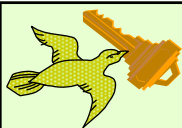
❖ Comm. overhead:

10,240 bits (twice as large as the original message !)
10,240 bits



© 1998 by Yuliang Zheng

17



Why signature-then-encryption can be a problem (cnt'd)

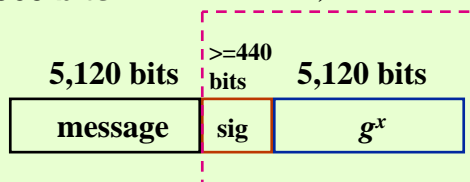
- If Schnorr sig & ElGamal enc with a 5120-bit prime are used

❖ Comp. cost:

3+2.17=5.17 (3+3=6) exponentiations mod a (very large !)
5120-bit integer

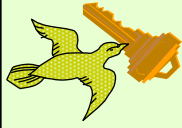
❖ Comm. overhead:

≥ 5560 bits
 $\geq 5,560$ bits



© 1998 by Yuliang Zheng

18



Signcryption -- public & secret parameters

- **Public to all**

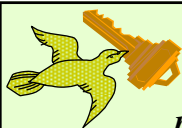
- ❖ p : a large prime
- ❖ q : a large prime factor of $p-1$
- ❖ g : $0 < g < p$ & with order $q \bmod p$
- ❖ *hash*: 1-way hash
- ❖ *KH*: keyed 1-way hash
- ❖ (E,D) : private-key encryption & decryption algorithms

- **Alice's keys**

- ❖ x_a : secret key
- ❖ y_a : public key
(note : $y_a = g^{x_a} \bmod p$)

- **Bob's keys**

- ❖ x_b : secret key
- ❖ y_b : public key
(note : $y_b = g^{x_b} \bmod p$)



Signcryption -- an example (SCS1)

$$m \longrightarrow (c,r,s)$$

$$(c,r,s) \longrightarrow m$$

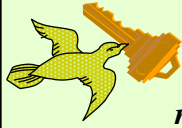
- **Signcrypt by Alice**

- ❖ $k = \text{hash}(y_b^x \bmod p)$
where $x \in_R \{1, \dots, q-1\}$
- ❖ $k \begin{matrix} \longrightarrow & k_1 \\ & \longrightarrow & k_2 \end{matrix}$
- ❖ $r = KH_{k_2}(m)$
- ❖ $s = \frac{x}{r + x_a} \bmod q$
- ❖ $c = E_{k_1}(m)$
- ❖ **output** (c,r,s)

- **Unsigncrypt by Bob**

- ❖ $k = \text{hash}((y_a \cdot g^r)^{s \cdot x_b} \bmod p)$
- ❖ $k \begin{matrix} \longrightarrow & k_1 \\ & \longrightarrow & k_2 \end{matrix}$
- ❖ $m = D_{k_1}(c)$
- ❖ **output**

$$\begin{cases} m & \text{if } r = KH_{k_2}(m) \\ \text{"invalid"} & \text{if } r \neq KH_{k_2}(m) \end{cases}$$



Signcryption -- another example

$$m \longrightarrow (c,r,s)$$

$$(c,r,s) \longrightarrow m$$

• Signcrypt by Alice

$$\diamond k = \text{hash}(y_b^x \bmod p)$$

where $x \in_R \{1, \dots, q-1\}$

$$\diamond k \begin{cases} \longrightarrow k_1 \\ \longrightarrow k_2 \end{cases}$$

$$\diamond r = KH_{k_2}(m)$$

$$\diamond s = (x - r \cdot x_a) \bmod q$$

$$c = E_{k_1}(m)$$

$$\diamond \text{output } (c,r,s)$$

• Unsigncrypt by Bob

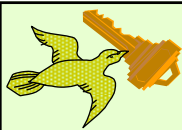
$$\diamond k = \text{hash}((g^s \cdot y_a^r)^{x_b} \bmod p)$$

$$\diamond k \begin{cases} \longrightarrow k_1 \\ \longrightarrow k_2 \end{cases}$$

$$\diamond m = D_{k_1}(c)$$

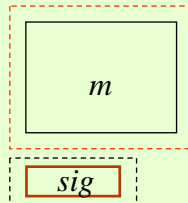
• output

$$\begin{cases} m & \text{if } r = KH_{k_2}(m) \\ \text{"invalid"} & \text{if } r \neq KH_{k_2}(m) \end{cases}$$



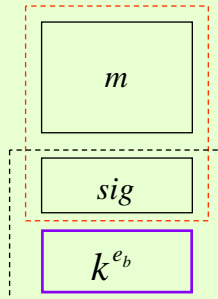
Signcryption v.s. Signature-then-Encryption

EXP=1+1.17



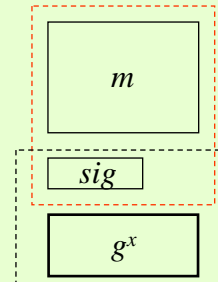
(a) Signcryption based on DL

EXP=2+2

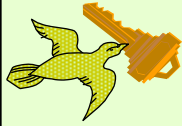


(b) Signature-then-Encryption based on RSA

EXP=3+2.17



(c) Signature-then-Encryption based on DL



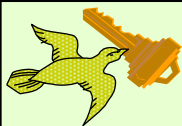
Cost of Signature-then-Encryption v.s. Cost of Signcryption

A simplistic comparison:

Schemes	Cost	Comp Cost (No. of exp)	Comm Overhead (bits)
RSA based sig-then-enc		2 + 2	$ n_a + n_b $
DL based Schnorr sig + ElGamal enc		3 + 2.17 (3 + 3)	$ \text{hash} + q + p $
DL based Signcryption		1 + 1.17 (1 + 2)	$KH + q$

© 1998 by Yuliang Zheng

23



Signcryption v.s. Schnorr Sig + ElGamal Enc (cnt'd)

$ p $	$ q $	$ KH $	saving in comp cost	saving in comm overhead
512	144	72	58 %	70.3 %
768	152	80	58 %	76.8 %
1024	160	80	58 %	81.0 %
1536	176	88	58 %	85.3 %
2048	192	96	58 %	87.7 %
3072	224	112	58 %	90.1 %
4096	256	128	58 %	91.0 %
5120	288	144	58 %	92.0 %
8192	320	160	58 %	94.0 %
10240	320	160	58 %	96.0 %

© 1998 by Yuliang Zheng

24

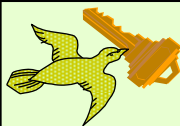


Signcryption v.s. RSA

$ p = n_a $ $= n_b $	$ q $	$ KH $	saving in comp cost	saving in comm overhead
512	144	72	0 %	78.9 %
768	152	80	14.2 %	84.9 %
1024	160	80	32.3 %	88.3 %
1536	176	88	50.3 %	91.4 %
2048	192	96	59.4 %	93.0 %
3072	224	112	68.4 %	94.0 %
4096	256	128	72.9 %	95.0 %
5120	288	144	75.6 %	96.0 %
8192	320	160	83.1 %	97.0 %
10240	320	160	86.5 %	98.0 %

© 1998 by Yuliang Zheng

25

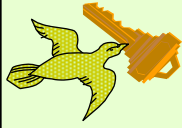


Applications of Signcryption

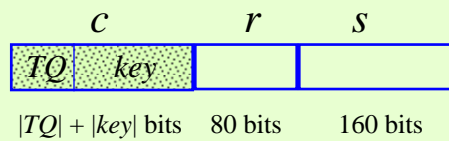
- **Bring to society huge savings in comp. & comm. if used widely in**
 - ❖ **secure & authenticated message delivery / storage**
 - ❖ **electronic commerce**
 - **secure & authenticated transactions**
 - ❖ **secure & authenticated multicast (incl. video conference, CSCW etc)**
 - ❖ **fast, compact, secure, unforgeable & non-repudiated key transport**

© 1998 by Yuliang Zheng

26



Direct transport of key materials in a Short Packet



$$|p| \geq 512, |q| \geq 160, |KH(\cdot)| \geq 80$$

$$(k_1, k_2) = \text{hash}(y_b^x \bmod p)$$

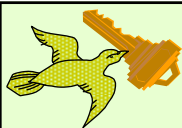
with $x \in_R [1, \dots, q-1]$

$$|k_1| \geq 64, |k_2| \geq 64$$

$$c = E_{k_1}(\text{key}, TQ)$$

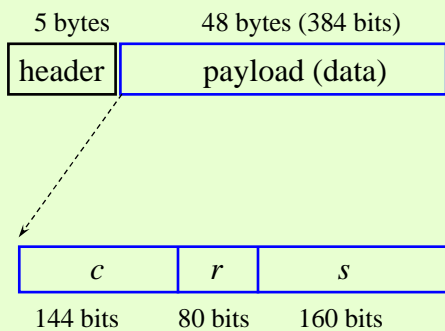
$$r = KH_{k_2}(\text{key}, TQ, \text{other})$$

$$s = \frac{x}{r + x_a} \bmod q$$



Direct transport of key materials in a single ATM cell

ATM Cell



$$|p| \geq 512, |q| \geq 160, |KH(\cdot)| \geq 80$$

$$(k_1, k_2) = \text{hash}(y_b^x \bmod p)$$

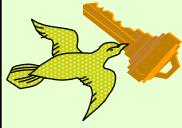
with $x \in_R [1, \dots, q-1]$

$$|k_1| \geq 64, |k_2| \geq 64$$

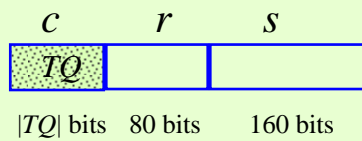
$$c = E_{k_1}(\text{key}, TQ)$$

$$r = KH_{k_2}(\text{key}, TQ, \text{other})$$

$$s = \frac{x}{r + x_a} \bmod q$$



Indirect transport of key materials in a Short Packet



$$|p| \geq 512, |q| \geq 160, |KH(\cdot)| \geq 80$$

$$(k_1, k_2) = \text{hash}(y_b^x \bmod p)$$

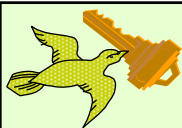
with $x \in_R [1, \dots, q-1]$

$$|k_1| \geq 64, |k_2| \geq 64$$

$$c = E_{k_1}(TQ)$$

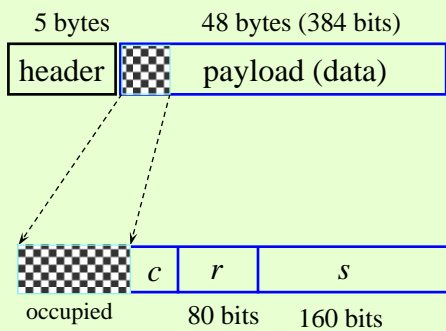
$$r = KH_{k_2}(TQ, \text{other})$$

$$s = \frac{x}{r + x_a} \bmod q$$



Indirect transport of key materials in a single ATM cell

ATM Cell



$$|p| \geq 512, |q| \geq 160, |KH(\cdot)| \geq 80$$

$$(k_1, k_2) = \text{hash}(y_b^x \bmod p)$$

with $x \in_R [1, \dots, q-1]$

$$|k_1| \geq 64, |k_2| \geq 64$$

$$c = E_{k_1}(TQ)$$

$$r = KH_{k_2}(TQ, \text{other})$$

$$s = \frac{x}{r + x_a} \bmod q$$

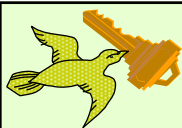


2 Dimensions to be considered

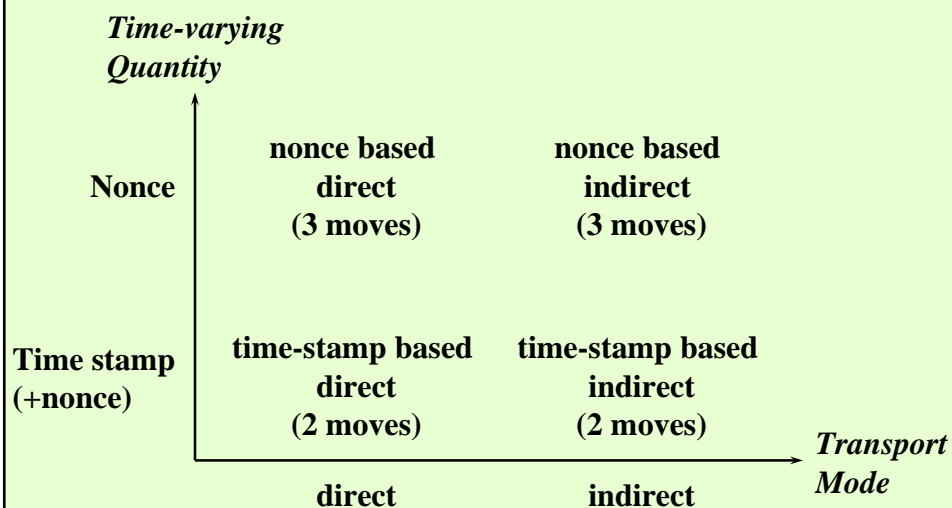
- **Direct v.s. Indirect key transport**
 - ❖ **Direct key material transport**
 - a random session key is explicitly included in key materials
 - ❖ **Indirect key material transport**
 - a random session key is to be derived from key materials
- **Ensuring Freshness using**
 - ❖ a time-stamp, or
 - ❖ a nonce

© 1998 by Yuliang Zheng

31

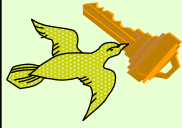


4 Types of Key Transport Protocols



© 1998 by Yuliang Zheng

32



Direct key transport using a nonce (for unicast)

Alice

$$c = E_{k_1}(key)$$

$$r = KH_{k_2}(key, NC_b, etc)$$

$$s = x / (r + x_a) \bmod q$$

verify tag

$\leftarrow NC_b \rightarrow$

$\Rightarrow c, r, s \Rightarrow$

$\leftarrow tag \rightarrow$
(optional)

Bob

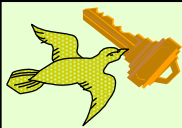
Pick a nonce NC_b

decrypt

$$tag = MAC_{key}(NC_b)$$

© 1998 by Yuliang Zheng

33



Direct key transport using a time-stamp (for unicast)

Alice

$$c = E_{k_1}(key, TS)$$

$$r = KH_{k_2}(key, TS, etc)$$

$$s = x / (r + x_a) \bmod q$$

verify tag

$\Rightarrow c, r, s \Rightarrow$

$\leftarrow tag \rightarrow$
(optional)

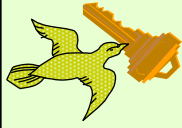
Bob

**decrypt, and
check the freshness
of TS**

$$tag = MAC_{key}(TS)$$

© 1998 by Yuliang Zheng

34



Indirect key transport using a time-stamp (2 moves)

Alice

$$c = E_{k_1}(TS)$$
$$r = KH_{k_2}(TS, etc)$$
$$s = x / (r + x_a) \bmod q$$

$$key = KH_{k_1, k_2}(TS)$$

verify tag

=> c, r, s =>

<= tag <= (optional)

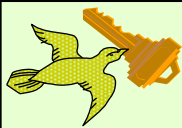
Bob

decrypt, and check the freshness of TS

$$key = KH_{k_1, k_2}(TS)$$
$$tag = MAC_{key}(TS, I)$$

© 1998 by Yuliang Zheng

35



How to obtain key exchange protocols

- Let Bob's data or ID be involved in the derivation of a session key

❖ E.g.

- $key^* = KH_{key}(NC_b)$

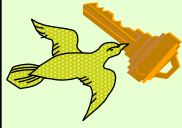
- $key^* = KH_{key}(ID_b)$

- $key^* = KH_{key}(NC_b, ID_b)$

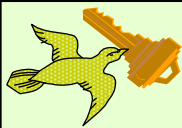
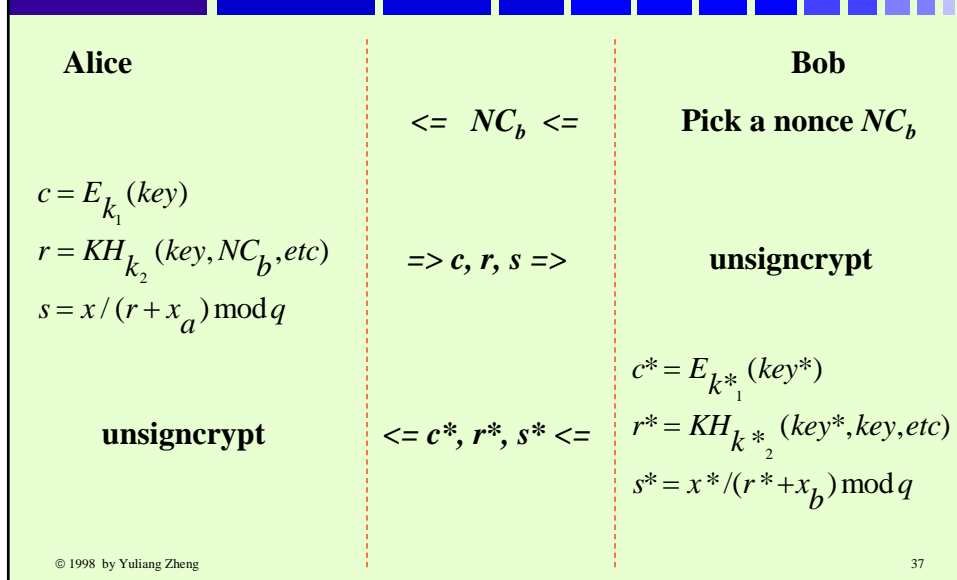
- Let both Alice & Bob generate key & exchange key materials (which achieves mutual identification).

© 1998 by Yuliang Zheng

36

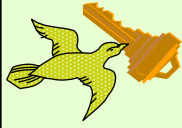


Direct key exchange using a nonce (for unicast)



ATM Forum Proposals

- Two protocols, both based on X.509
 - ❖ 2-way protocol
 - ❖ 3-way protocol
- Correspondence
 - ❖ ATM 2-way \Leftrightarrow direct key exchange using a time-stamp
 - ATM 3-way \Leftrightarrow direct key exchange using a nonce



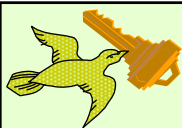
ATM Forum 2-Way Protocol (based on sign-then-enc)

Alice

Bob

\Rightarrow $ID_a, ID_b, SecOpt, \{T_a, R_a, \{Enc_{K_b}(ConfPar_a)\},$
 $Sig_{K_a}(hash(ID_a, ID_b, T_a, R_a, SecOpt, \{ConfPar_a\}))\}$ \Rightarrow

\Leftarrow $ID_a, ID_b, R_a, \{Enc_{K_a}(ConfPar_b)\},$
 $Sig_{K_b}(hash(ID_a, ID_b, R_a, \{ConfPar_b\}))\}$ \Leftarrow



ATM Forum 3-Way Protocol (based on sign-then-enc)

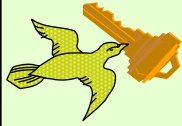
Alice

Bob

\Rightarrow $ID_a, \{ID_b\}, R_a, SecNeg_a, \{Cert_a\}$ \Rightarrow

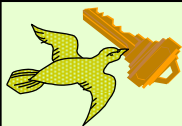
\Leftarrow $ID_a, ID_b, SecNeg_b, \{Cert_b\}, \{R_a, R_b, \{Enc_{K_a}(ConfPar_b)\},$
 $Sig_{K_b}(hash(ID_a, ID_b, R_a, R_b, SecNeg_a, SecNeg_b, \{ConfPar_b\}))\}$ \Leftarrow

\Rightarrow $ID_a, ID_b, R_b, \{Enc_{K_b}(ConfPar_a)\},$
 $Sig_{K_a}(hash(ID_a, ID_b, R_b, \{ConfPar_a\}))\}$ \Rightarrow



Advantages of Our Signcrypton based Protocols over ATM Forum's

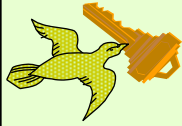
- Significant savings in
 - ❖ computational time and
 - ❖ communication overhead



Comparison with Beller-Yacobi protocol

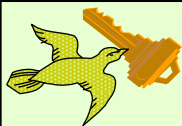
Attributes protocols	Comp. Cost (# of exp)	Longest Msg	Pre comp.
Beller- Yacobi	1 + 2.25 (1 + 4)	\geq 512 bits	Yes
Our protocols	1 + 1.17 (1 + 2)	\leq 384 bits	Yes*

* Only when Alice knows whom to communicate with



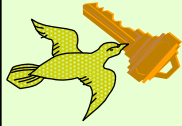
About “Forward Secrecy”

- **Forward secrecy w.r.t. a participant**
 - ❖ compromise of the participant’s long term secret key does NOT result in the exposure of past session keys
 - ❖ Beller-Yacobi protocol
 - YES w.r.t. Alice, NO w.r.t. Bob
 - ❖ Our protocols
 - NO w.r.t. either Alice or Bob



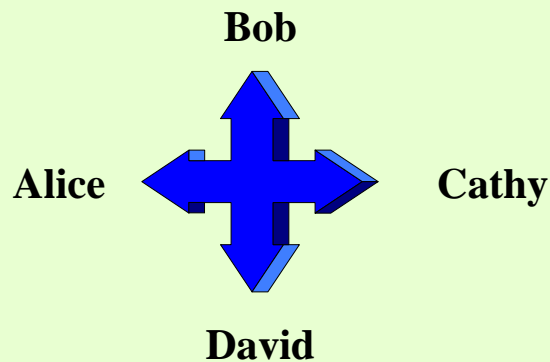
About Forward Secrecy (cnt’d)

- **Forward secrecy w.r.t. Alice CAN be obtained in our proposals**
 - ❖ by making a Alice’s long term secret key x_a hard to compromise
 - ❖ E.g. secret sharing, mathematically and/or physically



Extensions

- the proposed protocols can be extended to “multi-cast” conference key establishment



© 1998 by Yuliang Zheng

45

Direct multicast key transport using a nonce

Alice & each $R_p, I=1, \dots, t$
 $NC = NC_1 + \dots + NC_t$

Alice:

$key \in_R \{0,1\}^{l_1}, k \in_R \{0,1\}^{l_2}$

$h = KH_k(key, NC, etc)$

$c = E_k(key, h)$

for each $i = 1, \dots, t$

$v_i \in_R [1, \dots, q-1]$

$(k_{i,1}, k_{i,2}) = hash(y_i^{v_i} \text{ mod } p)$

$c_i = E_{k_{i,1}}(k)$

$r_i = KH_{k_{i,2}}(h, etc_i)$

$s_i = \frac{v_i}{r_i + x_a} \text{ mod } q$

Alice & each $R_p, I=1, \dots, t$
 verify tag_1, \dots, tag_t

NC_1
 $\Leftarrow \dots \Leftarrow$
 NC_t

c
 $c_p r_p s_1$
 $\Rightarrow \dots \Rightarrow$
 $c_p r_p s_t$

tag_1
 $\Leftarrow \dots \Leftarrow$
 tag_t
 (optional)

Each $R_p, I=1, \dots, t$
 Pick a nonce NC_b

Each $R_p, I=1, \dots, t$
 finds out (c, c_p, r_p, s_i)
 & unencrypt it

Each $R_p, I=1, \dots, t$
 $tag_i = MAC_{key}(NC_i)$

Direct multicast key transport using a time-stamp

Alice:

for each $i = 1, \dots, t$

$$v_i \in_R [1, \dots, q - 1]$$

$$(k_{i,1}, k_{i,2}) = \text{hash}(y_i^{v_i} \bmod p)$$

$key \in_R \{0,1\}^{l_1}, k \in_R \{0,1\}^{l_2}$

get time - stamp TS

$$h = KH_k(key, TS, etc)$$

$$c = E_k(key, TS, h)$$

for each $i = 1, \dots, t$

$$c_i = E_{k_{i,1}}(c)$$

$$r_i = KH_{k_{i,2}}(h, etc_i)$$

$$s_i = \frac{v_i}{r_i + x_a} \bmod q$$

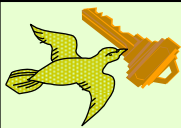
Alice & each $R_p, I=1, \dots, t$
verify tag_1, \dots, tag_t

$$\begin{array}{c} c \\ c_p r_p s_1 \\ \dots \\ c_p r_p s_t \end{array} \Rightarrow$$

Each $R_p, I=1, \dots, t$
finds out (c, c_p, r_p, s_i)
& unencrypt it

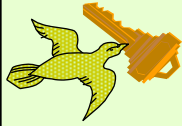
$$\begin{array}{c} tag_1 \\ \dots \\ tag_t \\ \text{(optional)} \end{array} \Leftarrow$$

Each $R_p, I=1, \dots, t$
 $tag_i = MAC_{key}(TS, ID_i)$



Speeding-up through Randomization

- R_i may decide, in a probabilistic fashion
 - ❖ whether or not generating NC_i
 - ❖ whether or not multicasting tag_i
- Similarly, Alice and each R_i may randomly choose a subset of tags received for verification



Summary

- addressed the problem of “unforgeable key establishment in small packets s.a. ATM cells”
- solved the problem using **signcryption**
- Potential applications:
 - ❖ high speed networks
 - ❖ smart card based security solutions
 - ❖ mobile communications,