

# Cell Autonomous Requirement of Endocardial *Smad4* During Atrioventricular Cushion Development in Mouse Embryos

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Atrioventricular (AV) cushions are the precursors of AV septum and valves. In this study, we examined roles of *Smad4* during AV cushion development using a conditional gene inactivation approach. We found that endothelial/endocardial inactivation of *Smad4* led to the hypocellular AV cushion defect and that both reduced cell proliferation and increased apoptosis contributed to the defect. Expression of multiple genes critical for cushion development was down-regulated in mutant embryos. In collagen gel assays, the number of mesenchymal cells formed is significantly reduced in mutant AV explants compared to that in control explants, suggesting that the reduction of cushion mesenchyme formation in mutants is unlikely secondary to their gross vasculature abnormalities. Using a previously developed immortal endocardial cell line, we showed that *Smad4* is required for BMP signaling- stimulated upregulation of *Tbx20* and *Gata4*. Therefore, our data collectively support the cell-autonomous requirement of endocardial *Smad4* in regulating AV cushion development. *Developmental Dynamics* 240:211–220, 2011. © 2010 Wiley-Liss, Inc.

**Key words:** valvulogenesis; BMP; Smad4; congenital heart disease; atrioventricular cushion

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## INTRODUCTION

Malformation of cardiac valvuloseptal structures accounts for a large proportion of congenital heart diseases (CHDs), which are the major cause of infant morbidity and mortality, occurring in as many as 1% of newborns (Hoffman, 1995; Hoffman and Kaplan, 2002; Clark et al., 2006; Onuzo, 2006). Valvuloseptal development in the atrioventricular canal (AVC) region is initiated with cushion

formation by regional expansion of extracellular matrix (ECM) from both superior and inferior directions at ~E9.0 in mouse embryos (Markwald et al., 1981; Eisenberg and Markwald, 1995). Shortly thereafter, from E9.5 to E10.5, a group of endocardial cells respond to signals released from the myocardium and undergo epithelial-mesenchyme-transformation (EMT) to migrate into the ECM. The cellularized AV cushions act as precursors of AV valves and septa to facilitate

unidirectional blood flow, and are further matured into the final valvuloseptal structures through complicated remodeling processes (de la Cruz et al., 2001; Barnett and Desgrosellier, 2003; Schroeder et al., 2003; Armstrong and Bischoff, 2004; Gittenberger-de Groot et al., 2005; Person et al., 2005; Butcher and Markwald, 2007; Wagner and Siddiqui, 2007).

Functions of Bone Morphogenic Protein (BMP) signaling during AV cushion development have been

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extensively studied in recent years. BMP signals are transduced through heterodimeric complexes of type I and type II serine/threonine kinase receptors (Hogan, 1996; von Bubnoff and Cho, 2001; Kishigami and Mishina, 2005; Miyazono et al., 2010). After formation of the receptor-ligand complex at the cell surface, the type II receptor phosphorylates the type I receptor, which in turn phosphorylates BMP receptor-activated Smads (R-Smads, including Smad1, 5, and 8). Phosphorylated (activated) Smad1/5/8 associate with Smad4 to form an R-Smad/Smad4 complex, which translocates into the nucleus to regulate transcription of target genes. In addition to promoting Smad-mediated transcription, BMP ligands may also transduce signals through “non-canonical” kinase pathways (de Caestecker, 2004; ten Dijke and Hill, 2004; Moustakas and Heldin, 2005).

Two BMP ligand genes, *Bmp2* and *Bmp4*, are expressed in the myocardium of the AVC and are required for normal AV valvuloseptal formation. Myocardial depletion of *Bmp2* causes defective specification of the AVC myocardium, severely reduced deposition of ECM into AV cushions, and failure in cellularization of cushions (Ma et al., 2005; Rivera-Feliciano and Tabin, 2006). In the collagen gel system, BMP2 can sufficiently replace the myocardium to stimulate EMT by endocardial cells (Sugi et al., 2004) and enhance mesenchyme migration (Inai et al., 2008), suggesting that BMP2 released from the myocardium can directly act on the endocardial and mesenchymal cells in AV cushions. Myocardial inactivation of *Bmp4* leads to a common AV canal defect, phenocopying the cardiac abnormality typically observed in Down syndrome patients (Jiao et al., 2003). This defect is due to reduced proliferation of cushion mesenchymal cells rather than impaired EMT (Jiao et al., 2003).

In addition to manipulating *Bmp2/4* ligand levels, BMP receptor genes have also been inactivated in different cardiac cell populations to elucidate the role of BMP signaling in cardiac development. For example, pan-myocardial inactivation of *Alk3* (*Bmpr1a*), which encodes a BMP specific type I receptor, led to hypoplastic AV cushions (Gaussin et al., 2002). In addition,

specific inactivation of *Alk3* in the AVC myocardium caused defects in maturation of AV valves (Gautschi et al., 2008). Moreover, depletion of *Alk3* from the endothelium reduced cushion mesenchyme formation to ~20% of the normal level (Ma et al., 2005; Park et al., 2006; Rivera-Feliciano and Tabin, 2006; Song et al., 2007a). Endothelial inactivation of *Alk2*, which encodes a type I receptor mediating both BMP and TGF $\beta$  signals (de Caestecker, 2004), has also been shown to reduce EMT in AV cushions (Wang et al., 2005).

*Smad4* encodes a critical transcriptional co-activator of BMP R-Smads. In addition, Smad4 interacts with TGF $\beta$  R-Smads (including Smad2 and 3) to co-activate TGF $\beta$  downstream target genes. Recent studies from our group and others have demonstrated that *Smad4* plays important roles in regulating development of the cardiovascular system, which includes myocardial wall morphogenesis, cardiac neural crest cell development, and vasculature formation (Park et al., 2006; Jia et al., 2007; Ko et al., 2007; Lan et al., 2007; Qi et al., 2007; Song et al., 2007b; Nie et al., 2008). Nevertheless, the role of *Smad4* during AV cushion development has not been conclusively determined. Our current study establishes the cell-autonomous requirement of *Smad4* in endocardial cells to promote normal AV cushion cellularization.

## RESULTS

### Endothelial Inactivation of *Smad4* Causes Embryonic Lethality at Midgestation

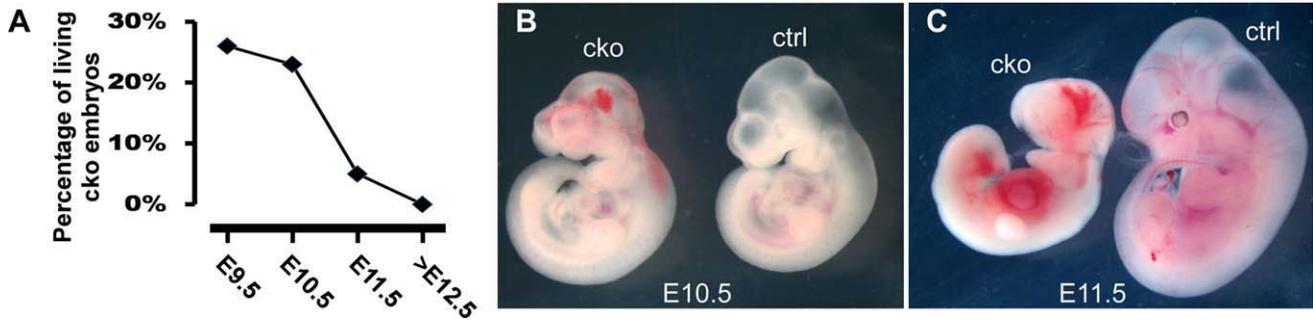
To circumvent the early embryonic lethality of *Smad4*<sup>-/-</sup> mice (Sirard et al., 1998; Takaku et al., 1998; Yang et al., 1998; Chu et al., 2004), and to study the role of *Smad4* during AV cushion development, we specifically inactivated *Smad4* from endocardial/endothelial cells using *Tie1-Cre* (Gustafsson et al., 2001) and *Smad4*<sup>loxP/loxP</sup> (Yang et al., 2002) mouse lines. *Tie1-Cre* specifically inactivates target genes in pan-endothelial (including endocardial) cells starting from ~E9.5, when AV cushion mesenchymal cells are initially derived from endocardial cells (Gustafsson et al., 2001; Song et al., 2007a). We first crossed *Tie1-Cre*

mice with *Smad4*<sup>loxP/loxP</sup> mice to obtain *Tie1-Cre; Smad4*<sup>+ /loxP</sup> male mice, which were further crossed with *Smad4*<sup>loxP/loxP</sup> female mice to acquire conditional knockout mutant animals (*Tie1-Cre; Smad4*<sup>loxP/loxP</sup>).

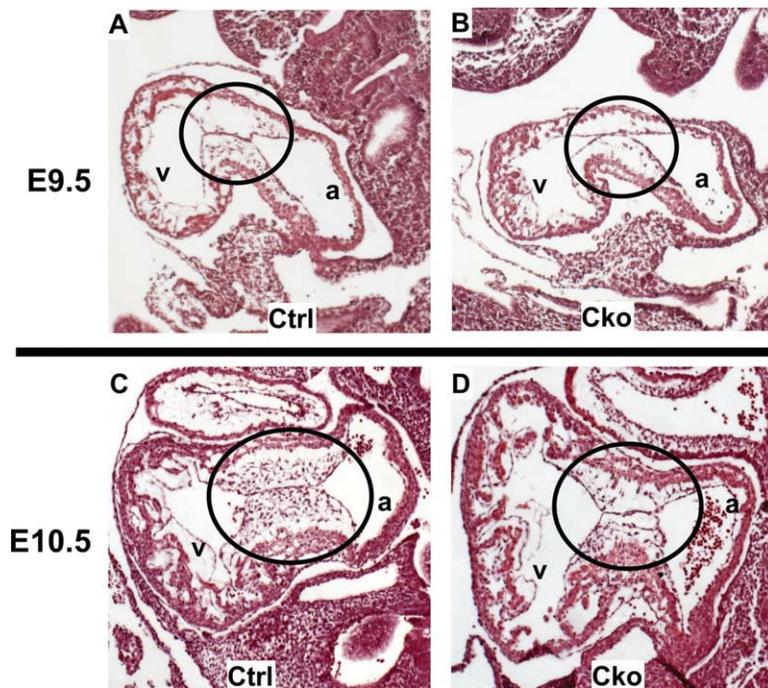
No mutant animals were recovered at the P0 stage (from 6 litters), indicating that endothelial inactivation of *Smad4* causes embryonic lethality. We then isolated embryos from E9.5 to E19.5 and determined the ratio of live mutant embryos to total embryos. The number of mutant embryos at E9.5 and 10.5 stages was consistent with the expected Mendelian ratio (25%), while at E11.5, less than 10% of total embryos were mutants, indicating that embryonic lethality occurs between E10.5 and E11.5 (Fig. 1A). Very few mutant embryos were able to survive beyond E12.5. Gross examination did not reveal any visible growth defects in E9.5 mutant embryos (data not shown). However, while mutants were similar in size to the controls at E10.5 (Fig. 1B), ~50% of them showed hemorrhage in the embryonic head region (Fig. 1B). This defect is likely due to maldevelopment of vasculature structures caused by pan-endothelial inactivation of *Smad4*, as previously reported (Park et al., 2006; Lan et al., 2007). At E11.5, internal hemorrhage was visible throughout the mutant embryos and growth arrest was clearly evident, when compared to controls (Fig. 1C).

### Endocardial *Smad4* Is Required for Normal AV Cushion Cellularization

To test the role of endocardial *Smad4* in regulating cushion mesenchyme formation, we performed detailed histological examination on mutants and their littermate controls. To minimize the possibility that the observed AV cushion defect was secondary to general growth retardation, only live embryos (with beating hearts) lacking obvious gross abnormalities were included for further analysis. The size of AV cushions was comparable between mutants and controls at E9.5 (Fig. 2A, B), indicating that endocardial *Smad4* is not required for expansion of extracellular matrix at the AV canal region. This result is consistent with previous studies showing that the



**Fig. 1.** Endocardial inactivation of *Smad4* leads to embryonic lethality. *Tie1-Cre; Smad4<sup>loxP/+</sup>* male mice were crossed with *Smad4<sup>loxP/loxP</sup>* female mice to obtain mutant embryos (*Tie1-Cre; Smad4<sup>loxP/loxP</sup>*) and their littermate controls at different stages. **A:** The chart shows the percentage of living mutant (*Tie1-Cre; Smad4<sup>loxP/loxP</sup>*) embryos isolated at various stages. At E9.5 and E10.5, the ratio of mutant embryos is about ~25%, close to the Mendelian ratio. Beginning at E11.5, the number of mutant embryos is dramatically lower than 25%. Very few mutant embryos can survive beyond E12.5. **B,C:** Gross examination of mutant embryos at E10.5 (**B**) and E11.5 (**C**). While the size of mutant embryos is similar to controls at E10.5, about 50% of them display hemorrhage in the brain area. At E11.5, all examined mutant embryos displayed growth arrest with hemorrhage throughout the whole embryo. cko, conditional knockout (*Tie1-Cre; Smad4<sup>loxP/loxP</sup>*); ctrl, control (*Smad4<sup>loxP/loxP</sup>*).



**Fig. 2.**

extracellular matrix proteins in AV cushions are predominantly secreted from the myocardium (Markwald et al., 1981; Eisenberg and Markwald, 1995). Mutant embryos displayed severe hypoplastic AV cushion defects at both E9.5 and E10.5 stages, while no obvious defect was observed in the myocardial walls (Fig. 2A–D). Quantitative analysis indicated that the number of AV cushion mesenchymal cells in mutants was reduced to ~20% of that in controls at both E9.5 and E10.5 stages (Fig. 2E).

### ***Smad4* Is Required for Proper Proliferation and Survival of Cushion Mesenchymal Cells**

To test whether reduced cell proliferation contributed to the hypoplastic AV cushion defects observed in mutant embryos, we immunostained

**Fig. 2.** Endothelial/endocardial expression of *Smad4* is required for normal cellularization of AV cushions. **A–D:** Control (**A, C**) and mutant (**B, D**) embryos at E9.5 (**A, B**) and E10.5 (**C, D**) were sagittally sectioned and HE stained. The AV cushions from mutant embryos display a visible hypoplastic defect. The circled areas refer to AV cushions. **E:** To quantitatively determine the extent of reduction in AV cushion mesenchymal cells caused by endocardial inactivation of *Smad4*, the total number of mesenchymal cells was determined by counting all sections covering the AVC region. The number of control hearts was set at 100%. Data were averaged from 3 embryos of each genotype. The error bar indicates the Standard Deviation (SD). \* $P < 0.01$  (Student's *t*-test). a, atria; v, ventricle; cko, conditional knockout (*Tie1-Cre; Smad4<sup>loxP/loxP</sup>*); ctrl, control (*Smad4<sup>loxP/loxP</sup>*).

embryonic sections with an anti-phospho-Histone His3 antibody. As shown in Figure 3, the number of mesenchymal cells at the M phase was reduced to ~1% in mutant embryos compared to ~3% in controls. This result indicates that *Smad4* is required for normal proliferation of cushion mesenchymal cells. We next performed TUNEL assays to determine the contribution of cell death to the cushion defects. As shown in Figure 4A, B, TUNEL-positive cells were clearly detected in mutant AV cushions, while few, if any, such cells could be detected in littermate control cushions. We further demonstrated that the increased cell death was due to apoptosis rather than necrosis through immunostaining embryonic sections with an antibody against cleaved Casp3 (Fig. 4C, D). Our quantification analysis confirmed that the number of cells undergoing apoptosis was significantly increased in mutant embryos (Fig. 4E). Therefore, we concluded that *Smad4* is required for normal survival of cushion mesenchymal cells.

### ***Smad4* Is Required for Normal EMT in Collagen Gel Assays**

To test whether *Smad4* is required for normal EMT, we performed ex vivo collagen gel assays, which have been widely applied to study EMT in AV cushions of chicken and mouse embryonic hearts (Bernanke and Markwald, 1982; Camenisch et al., 2002; Sugi et al., 2004; Song et al., 2007a). In control explants, mesenchymal cells were readily detected after 48 hr of ex vivo culturing (Fig. 5A), while significantly fewer mesenchymal cells were observed in mutant explants (Fig. 5B). Further quantitative analysis indicated that the number of mesenchymal cells formed in mutant explants was reduced to ~20% of that in control ones. We, therefore, conclude that *Smad4* is required for normal mesenchyme formation in AV explants.

### ***Smad4* Is Required for Normal Expression of Genes Involved in Regulating AV Cushion Development**

To begin to understand the molecular mechanisms underlying the AV cush-

ion defect in mutant embryos, we performed immunofluorescence staining assays to evaluate the expression of *Tbx20*, *Msx1/2*, and *Gata4* in AV cushions at E10.5. Previous studies have demonstrated that these genes are involved in regulating AV cushion development (Rivera-Feliciano et al., 2006; Rivera-Feliciano and Tabin, 2006; Shelton and Yutzey, 2007; Song et al., 2007a; Chen et al., 2008). As shown in Figure 6, expression of these genes was visibly down-regulated in AV cushions of mutant embryos when compared to those of littermate controls. Further quantitative analysis confirmed that this reduction is statistically significant. These data indicate that *Smad4* acts upstream of these genes to activate their expression during formation of AV cushion mesenchymal cells.

### ***Smad4* Is Required for BMP Signaling-Induced Expression of *Tbx20* and *Gata4* by Endocardial Cells**

Results described above from ex vivo collagen gel analysis (Fig. 5) and molecular marker examination (Fig. 6) suggest the essential cell-autonomous functions of *Smad4* in promoting cushion mesenchyme formation from endocardial cells (see Discussion section). We decided to further test this idea using an immortalized endocardial cell line, which has been previously used to study gene regulation during EMT (Zhou et al., 2005). For simplicity, we will refer to this cell line as ECC-1. We purchased 4

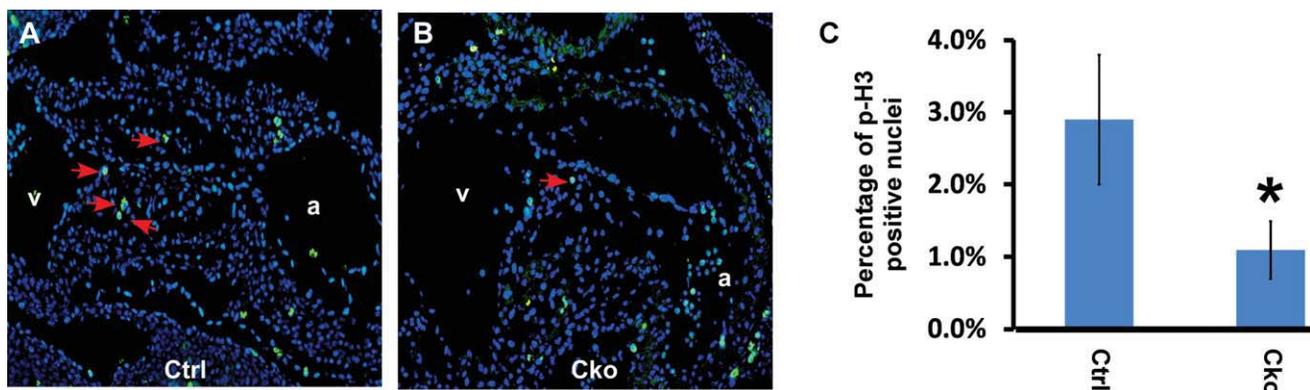
shRNA lentiviral constructs that were predesigned to specifically knock down *Smad4* expression. Two of the 4 constructs dramatically reduced *Smad4* expression to <25% of the control level, while no obvious reduction was observed in cells transduced with the lentiviral construct expressing a scrambled shRNA (Fig. 7A, B). It has been previously demonstrated that BMP ligands can replace the myocardium to activate EMT by endocardial cells on collagen gel assays (Sugi et al., 2004). In support of this idea, BMP4 treatment of ECC-1 cells led to upregulated expression of *Tbx20* and *Gata4*, which are known to regulate mesenchyme formation from endocardial cells (Fig. 7C, D). Knocking down expression of *Smad4* in ECC-1 cells did not alter the basal expression of the two genes; however, BMP4-induced upregulation was diminished in cells in which *Smad4* had been knocked down (Fig. 7C, D). We also treated ECC-1 cells with TGF $\beta$ 1 and found that expression of *Tbx20* and *Gata4* was not altered by such treatment (Fig. 7E), suggesting that upregulated expression of these two genes in ECC-1 cells is specific to BMP stimulation.

## **DISCUSSION**

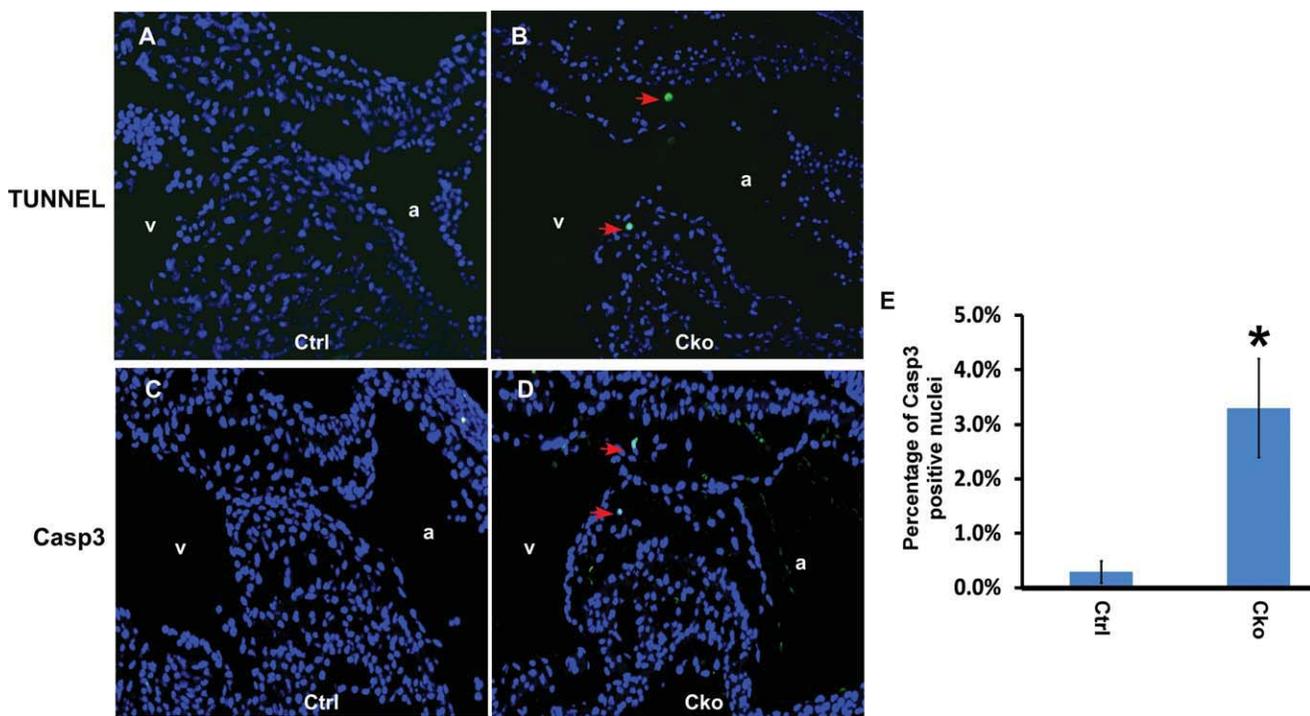
The focus of this study was to determine the function of endocardial *Smad4* during AV cushion development. We utilized multiple complementary model systems to demonstrate the cell-autonomous requirement of endocardial *Smad4* in promoting

**Fig. 4.** *Smad4* is required for normal survival of cushion mesenchymal cells. **A,B:** Control (A) and mutant (B) embryos at E10.5 were sagittally sectioned and subjected to TUNEL analysis using the Dead End Fluorescence TUNEL system (Promega). Total nuclei were visualized with DAPI staining (blue). Arrows indicate examples of TUNEL-positive nuclei. **C,D:** Control (C) and mutant (D) embryonic sections (E10.5) were immunostained with an antibody against cleaved Casp3. Signals were visualized with an Alexa Fluor-488 conjugated secondary antibody (green). Total nuclei were visualized with DAPI staining (blue). Arrows indicate examples of positively stained nuclei in AV cushions. **E:** Quantitative analysis of cell apoptosis in control and mutant AV cushions. Data were averaged from four independent embryos of each genotype, and at least 200 nuclei were counted for each embryo. Error bars indicate SD. \* $P < 0.05$  (Student's *t*-test). a, atria; v, ventricle; cko, conditional knockout (*Tie1-Cre; Smad4<sup>loxP/loxP</sup>*); ctrl, control (*Smad4<sup>loxP/loxP</sup>*).

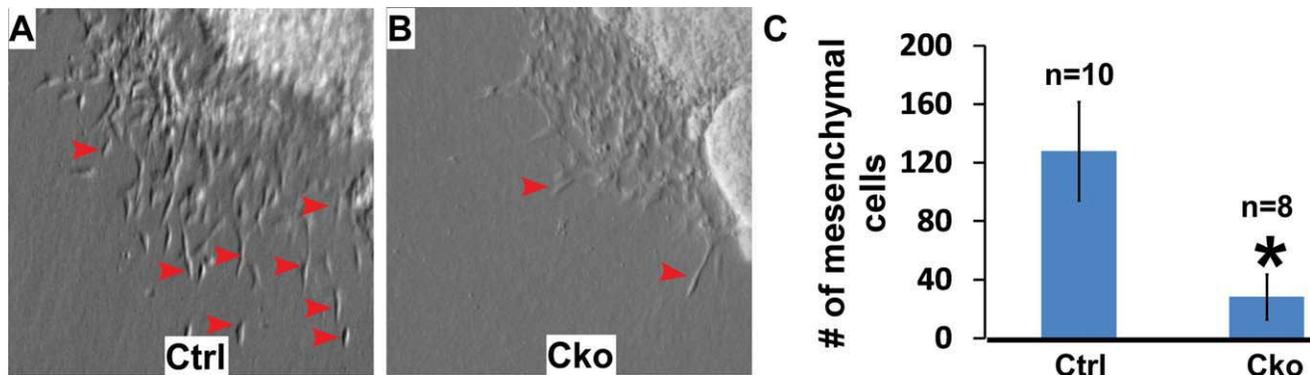
**Fig. 5.** *Smad4* is required for normal mesenchyme formation on ex vivo explants. **A,B:** Ex vivo collagen gel analysis was performed with control (A) and mutant (B) AV explants at E9.25, as described in Camenisch et al. (2002) and Song et al. (2007a). Fewer mesenchymal cells were formed in mutant explants. Examples of transformed cells (elongated and separated from other cells) are indicated with red arrows. **C:** The number of transformed cells in both control (n=10) and mutant explants (n=8) was counted under a light microscope. Data are shown as mean  $\pm$  SD. \* $P < 0.01$  (Student's *t*-test). cko, conditional knockout (*Tie1-Cre; Smad4<sup>loxP/loxP</sup>*); ctrl, control (*Smad4<sup>loxP/loxP</sup>*).



**Fig. 3.** *Smad4* is required for normal proliferation of cushion mesenchymal cells. **A,B:** Control (A) and mutant (B) embryos at E10.5 were sagittally sectioned and subjected to immunostaining using an antibody against phospho-Histone H3. Signals were visualized with an Alexa Fluor-488 conjugated secondary antibody (green). Total nuclei were visualized with DAPI staining (blue). Arrows indicate examples of positively stained nuclei in AV cushions. **C:** Quantitative analysis of cell proliferation in control and mutant AV cushions. Data were averaged from four independent embryos of each genotype, and at least 200 nuclei were counted for each embryo. Error bars indicate SD. \* $P < 0.05$  (Student's *t*-test). a, atria; v, ventricle; cko, conditional knockout (*Tie1-Cre; Smad4<sup>loxP/loxP</sup>*); ctrl, control (*Smad4<sup>loxP/loxP</sup>*).



**Fig. 4.**



**Fig. 5.**

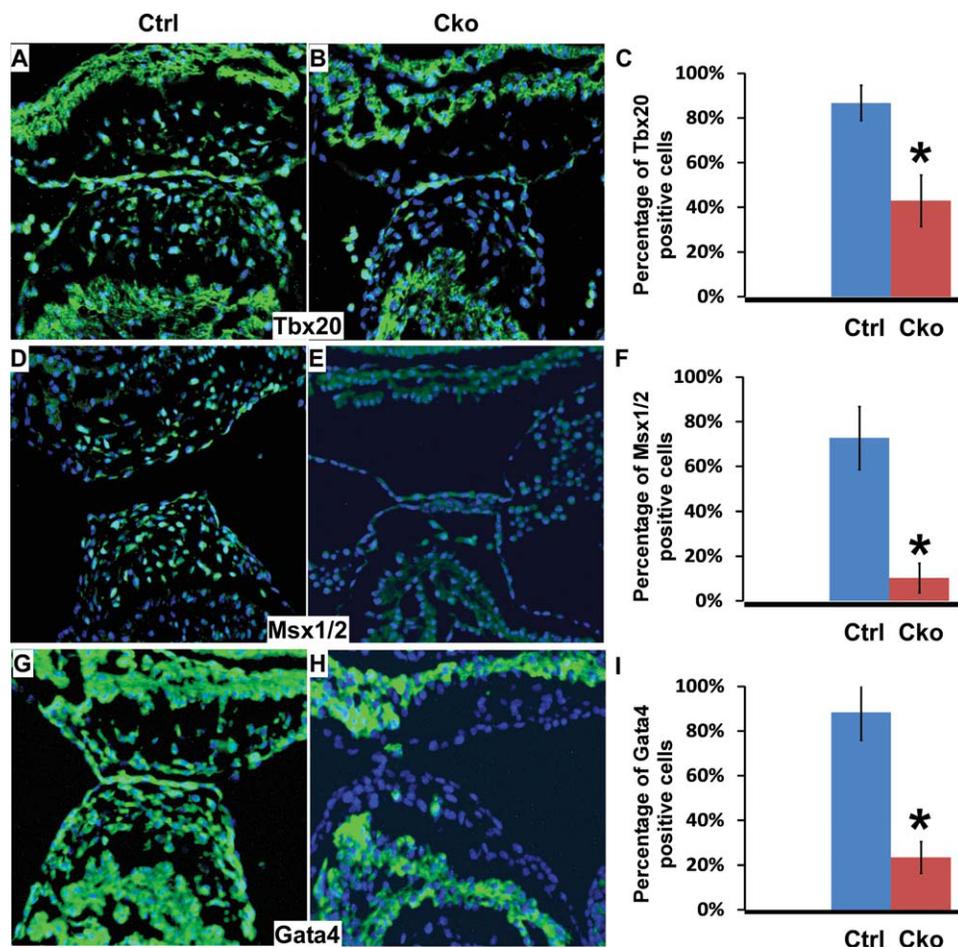


Fig. 6.

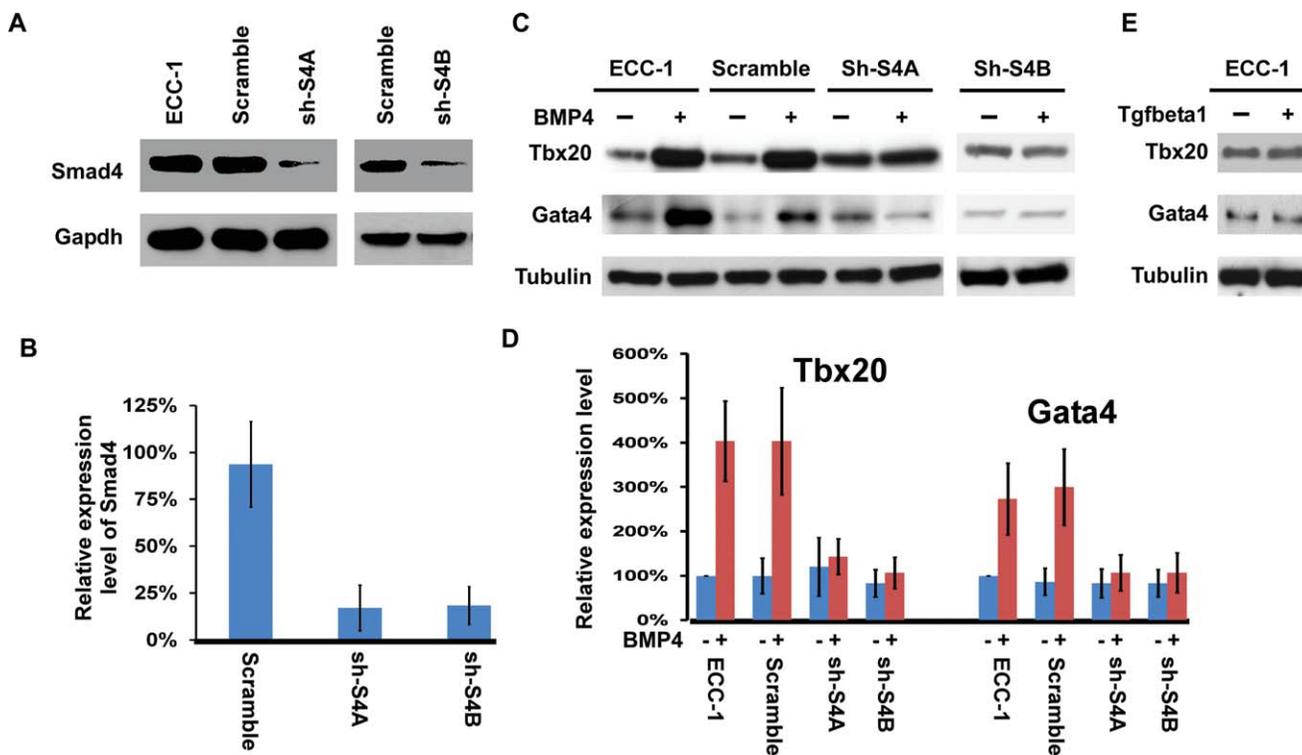


Fig. 7.

mesenchyme formation in AV cushions of mouse embryos.

Our *in vivo* mouse genetic studies showed that endothelial inactivation of *Smad4* leads to severe hypocellular AV cushion defects. Two previous elegant studies applying a similar conditional gene inactivation approach clearly established the critical role of *Smad4* in the endothelium to regulate vasculature remodeling and integrity (Park et al., 2006; Lan et al., 2007). Both groups noticed the hypocellular AV cushion defect in mutant embryos; however, this defect has not been characterized in detail. Furthermore, in both studies, the mutant embryos used for histological examination of the AV cushion region displayed growth retardation and apparent myocardial wall defects (Park et al., 2006; Lan et al., 2007). It is thus difficult to exclude that the hypocellular cushion defect is secondary to the overall growth delay/arrest in mutant embryos caused by pan-endothelial inactivation of *Smad4*. The focus of our current study was to characterize the AV cushion defect in detail at both the histological and molecular levels. To minimize the possibility that the observed cushion defect was due to gross endothelial vascular abnormalities, we only included embryos lacking obvious gross abnormalities for further examination of the AV canal region. These mutant embryos appeared to have normal myocardial walls, but displayed severe cushion defects (Fig. 2), supporting the essential cell-autono-

mous role of endocardial *Smad4* during AV cushion development.

To complement the *in vivo* genetic assays, we further examined the function of *Smad4* in *ex vivo* cultured AV explants and in *in vitro* cultured ECC-1 endocardial cells. We showed that in the collagen gel assay, the number of mesenchymal cells formed in mutant explants is reduced to ~20% of that in controls, comparable to the reduction observed in mutant embryonic hearts (Fig. 5). One great advantage of the collagen gel system is that once placed on the gel, the mutant and control AV tissues are exposed to identical culture medium, and thus formation of mesenchymal cells *ex vivo* will be relatively independent of developmental processes in other embryonic areas. Therefore, the result from our collagen gel assay strongly supports the direct role of *Smad4* in AV cushion endocardial cells. This conclusion is further substantiated by our *in vitro* cell culture assays using the ECC-1 endocardial cells, which are free from other cell types. Using this cell culture system, we showed that *Smad4* is required for BMP4-induced upregulation of expression of EMT regulatory genes including *Tbx20* and *Gata4*.

*Smad4* has long been known to play critical roles in mediating activities of TGF $\beta$ /BMP signaling during numerous biological/pathological processes (Feng and Derynck, 2005; Massague et al., 2005; Massague and Gomis, 2006). We recently reported that myocardial

*Smad4* promotes cardiomyocyte proliferation and survival through upregulation of *Nmyc* expression. However, many BMP-mediated cardiogenic processes, including AV canal specification, extracellular matrix deposition, and OFT remodeling, are not compromised by myocardial depletion of *Smad4* (Song et al., 2007b). The spectrum of abnormalities observed in *Alk3* myocardial inactivation embryos appears to be broader than that in *Smad4* myocardial inactivation embryos (Gaussin et al., 2005; Song et al., 2007b) (our unpublished observation). These data suggest that many cardiomyogenic activities of BMP signaling are mediated through *Smad4*-independent pathways. In contrast to myocardial gene inactivation studies, endothelial inactivation of *Smad4* led to the AV cushion abnormal phenotype closely resembling that caused by endothelial inactivation of *Alk3* and *Alk2* (Ma et al., 2005; Wang et al., 2005; Rivera-Feliciano and Tabin, 2006; Song et al., 2007a). We, therefore, speculate that *Smad4*-dependent transcription plays a central role in mediating BMP activities during AV cushion development. Since *Smad4* can also interact with *Smad2/3* to activate TGF $\beta$  signaling target genes, the mutant cushion phenotype could also be due to the combined effect of loss of both TGF $\beta$  and BMP activities in AV cushions.

We showed that expression of multiple genes (including *Tbx20*, *Gata4*, and *Msx1/2*) was down-regulated in *Tie1-Cre; Smad4<sup>loxP/loxP</sup>* AV cushions (Fig. 6). *Tbx20* was previously demonstrated to play a critical role in promoting proliferation of cushion mesenchymal cells (Shelton and Yutzey, 2007), and endocardial/mesenchymal expression of *Tbx20* is reduced in *Bmp2* myocardial inactivation embryos (Ma et al., 2005; Rivera-Feliciano and Tabin, 2006). A more recent study showed that *Tbx20* is a direct downstream target of BMP R-Smads (Mandel et al., 2010). Our result supports the idea that *Smad4* is an essential transcriptional co-activator to activate *Tbx20* expression in cushion endocardial and mesenchymal cells. *Msx1/2* are also direct BMP downstream effectors that are expressed in AV cushion endocardial/mesenchymal cells during EMT (Bei and Maas, 1998; Bei et al., 2000; Abdelwahid et al., 2002; Gitler et al.,

**Fig. 6.** Expression of multiple genes involved in AV cushion development is reduced by endothelial/endocardial inactivation of *Smad4*. Sections of control and mutant embryos (E10.5) were immunostained with antibodies against *Tbx20* (A, B), *Msx1/2* (D, E), and *Gata4* (G, H). Signals were visualized with an Alexa Fluor-488 conjugated secondary antibody (green). Total nuclei were visualized with DAPI staining (blue). C, F, I: The percentage of positively stained nuclei within AV cushions for *Tbx20*, *Msx1/2*, and *Gata4*, respectively. Data were averaged from at least 3 embryos of each genotype. Data are shown as mean  $\pm$  SD. \* $P < 0.01$  (Student's *t*-test). Cko, conditional knockout (*Tie1-Cre; Smad4<sup>loxP/loxP</sup>*); ctrl, control (*Smad4<sup>loxP/loxP</sup>*).

**Fig. 7.** *Smad4* is required for BMP-induced upregulation of *Tbx20* and *Gata4* in endocardial cells. A, B: ECC-1 cells were transduced with various lentiviral constructs expressing *Smad4* targeting shRNAs or a scrambled control shRNA. Western analysis was performed using an anti-*Smad4* antibody (A). Tubulin was used as a loading control. The band intensity was semi-quantified, and the intensity of *Smad4* in ECC-1 cells without viral transduction was set at 100% (B). Data were averaged from 3 independent experiments, and the error bars indicate SD. C, D: ECC-1 cells with various lentiviral constructs were treated with 0 or 100 ng/ml of hBMP4 for 48 hr. Western analysis was performed using antibodies against *Tbx20* or *Gata4* (C). Tubulin was used as a loading control. Band intensities were semi-quantified, and the intensity of *Tbx20* or *Gata4* in ECC-1 cells in the absence of BMP4 stimulation was set at 100%. Data were averaged from 3 independent experiments with error bars indicating SD. E: ECC-1 cells were treated with 0 or 5 ng/ml TGF $\beta$ 1 for 48 hr followed by Western analysis to examine expression of *Tbx20* and *Gata4*. TGF $\beta$  treatment did not apparently alter the expression level of *Tbx20* and *Gata4*.

2003; Hussein et al., 2003). Expression of *Msx1/2* was reduced in several mouse models with visible hypocellular AV cushion defects, including *Nkx2.5-Cre;Bmp2<sup>loxP/loxP</sup>*, *Tie2-Cre;Alk2<sup>loxP/-</sup>*, and *Tie1-Cre; Alk3<sup>loxP/loxP</sup>* embryos (Ma et al., 2005; Wang et al., 2005; Song et al., 2007a). *Msx1<sup>-/-</sup>;Msx2<sup>-/-</sup>* mutant embryos displayed abnormal endocardial activation and EMT in AV cushions (Chen et al., 2008). Using an antibody recognizing both proteins, we showed that normal expression of *Msx1/2* relies on the endocardial expression of *Smad4* in mouse embryonic hearts. Interestingly, expression of *Msx1/2* in ECC-1 cells is not up-regulated by BMP stimulation (data not shown). This is likely due to the fact that responsiveness of some genes (e.g., *Msx1/2*) to BMP stimulation is lost in ECC-1 cells during the immortalization process. *Gata4* is a critical upstream activator of the *ErbB3-Erk* pathway, which is required for EMT in the AVC region, and endocardial inactivation of *Gata4* severely impaired EMT (Rivera-Feliciano et al., 2006). Expression of *Gata4* in AV cushions is decreased by myocardial inactivation of *Bmp2* (Rivera-Feliciano and Tabin, 2006). Our data suggests that *Smad4* acts as the critical mediator of BMP signaling by activating *Gata4*. However, it is currently unclear whether *Gata4* is a direct downstream target of the BMP/Smad pathway.

In conclusion, we provide multiple lines of convincing evidence to show that *Smad4* plays a critical role during AV cushion cellularization, and that the activity of *Smad4* is endocardial cell autonomous. *Smad4* accomplishes its activities through regulating expression of multiple target genes that play essential roles during AV cushion development. Information acquired from this study will help us to better understand mechanisms of CHDs involving AV valvuloseptal abnormalities.

## EXPERIMENTAL PROCEDURES

### Mouse and Embryo Manipulations

All procedures are approved by the Institutional Animal Care and Use Committee at the University of Alabama at Birmingham. *Tie1-Cre* mice

(Gustafsson et al., 2001) were crossed with *Smad4<sup>loxP/loxP</sup>* mice (Yang et al., 2002) to specifically inactivate *Smad4* in endothelial cells. In performing conditional gene inactivation experiments, we always crossed male *Tie1-Cre; Smad4<sup>loxP/+</sup>* mice with female *Smad4<sup>loxP/loxP</sup>* mice. Mouse genotyping, embryo dissection, sectioning, and Hematoxylin-Eosin (HE) staining were performed as described previously (Jiao et al., 2002, 2003; Song et al., 2007a; Nie et al., 2008).

### Ex Vivo Collagen Gel Assay

Ex vivo collagen gel assays were performed as described previously (Camenisch et al., 2002; Song et al., 2007a). Forty-eight hours after culturing the AV explants on the collagen gel, the number of mesenchymal cells formed was counted under a light microscope.

### TUNEL, Immunostaining, and Western Analysis

TUNEL assays were performed using the "Dead End Fluorescence TUNEL system" (Promega, Madison, WI) following manufacturer's instructions. Immunofluorescence studies were performed as described previously (Song et al., 2007a). Alexa Fluor-488 conjugated secondary antibodies (Invitrogen, Carlsbad, CA) were used for visualization of the signal. Total nuclei were visualized with DAPI staining. Samples were examined with a Leica HC fluorescent microscope equipped with an RT SLIDER digital camera. Western analysis was performed as described (Song et al., 2007b), and signals were detected using ECL (Amersham, Pittsburgh, PA, or Millipore, Billerica, MA) kits. The protein concentration was determined using D/C Protein Assay Reagent (Bio-Rad, Hercules, CA) following the manufacturer's instruction. Band intensities were semi-quantified using Scion Image software. The primary antibodies used in this study included antibodies recognizing phospho-Histone-H3 (UpState, Billerica, MA), *Smad4*, cleaved Casp3 (Cell Signaling, Danvers, MA), *Gata4*, *Tbx20* (Sigma, St. Louis, MO), beta tubulin and *Msx1/2* (Iowa Hybridoma Bank, Iowa City, IA).

### Culture of Endocardial Cells and Knock Down of *Smad4* With Short Hairpin RNA (shRNA) Constructs

The immortalized endocardial cell line, ECC-1, was cultured as previously described (Zhou et al., 2005). For cytokine stimulation, cells were treated with 100 ng/ml hBMP4 (R&D, Minneapolis, MN) or 5 ng/ml TGF $\beta$ 1 (R&D) in medium with 0.5% FBS for 48 hr. Cells were then subjected to Western and/or immunostaining analysis. Four lentiviral shRNA constructs (pre-designed to knock down *Smad4*) along with a scrambled control shRNA construct were purchased from Open Biosystems (Huntsville, AL). Lentiviral constructs were packaged with the ViralPower packaging mix (Invitrogen) following the manufacturer's instruction. The cultured cells were transduced with supernatants containing various shRNA lentiviral constructs and selected with 4  $\mu$ g/ml puromycin (Sigma) for 4–6 weeks to acquire cells with constructs permanently incorporated into their genomes. Western analysis was then performed using an anti-*Smad4* antibody (Cell Signaling) to determine which constructs were able to efficiently knock down *Smad4* expression.

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